Conflicts of Interest, Faculty/Academic Staff

Approved by the Board of Trustees on April 13, 2006 and revised on April 13, 2012
Policy Changes

• Clarified who the Policy applies to
• Established an Annual Disclosure Requirement for
  • Personal significant financial interests & other opportunities for tangible personal benefit related to institutional responsibilities
    • NSF & PHS Investigators by August 24, 2012
    • All others by January 2015 appointed through Academic HR for academic purposes & All Investigators
• Established Responsibilities for
  • COI Officer (previously COI Information Officer)
  • Conflict Review Committee
Policy Changes

• Applied general PHS-mandated Institutional Compliance Responsibilities, except for:
  • A Training requirement
  • Inclusion of payments by the following as a personal Significant Financial Interest AND Disclosure of **Sponsored/Reimbursed Investigator Travel** by:
    • Non-profit organizations (e.g., the American Cancer Society or the Association of American Medical Colleges);
    • Research institutes not affiliated with an institution of higher education.
    • Foreign governments at all levels;
    • Foreign institutions of higher education;
    • Foreign academic teaching hospitals and medical centers; and Foreign research institutes.
Policy Maintained

- Formal compliance with NSF/PHS requirements
- Disciplinary Procedures for Non-compliance
Communications

- **March 12, 2012** -- Gray’s Matters
  - New PHS reporting requirements address public’s conflict of interest concerns

- **May 21, 2012** -- Gray’s Matters
  - Revised MSU Conflict of Interest Policy reflects best practices, complies with Public Health Service (PHS) requirements

- **July 11, 2012** -- Lists of PHS / NSF Investigators provided to colleges for review

- **July 26, 2012** -- Research eNews
  - Announced MSU Town Hall Meetings to discuss new Conflict of Interest requirements

- **August 22, 2012** -- Research eNews
  - Impact of new conflict of interest requirements on PHS/NSF investigators after Aug. 24
Administrative Briefings

• April 12, Council of Research Deans (CORD)
• May 9, SPROUTS
• September 6, Council of Research Deans (CORD)
• December 11, SPROUTS eRA Certification Series -- "Hot Topics"
Town Hall Meetings

- July 31, Life Sciences
- August 1, Natural Science
- August 8, Engineering
- August 10, Biomedical & Physical Sciences
- August 14, Seccia Center Grand Rapids Campus
- August 16, Biomedical & Physical Sciences
- August 16, Erickson (Departmental Admins.)
- August 20, College of Human Medicine Advisory Council
- August 28, Communication Arts & Sciences
- September 18, College of Engineering
- October 23, College of Education
- October 31, National Superconducting Cyclotron Laboratory
- November 13, Large Animal Clinical Sciences
- November 27, Pathobiology and Diagnostic Investigation
- November 28, Pediatrics
Lessons

**REQUIRED - 20 minute narrated tutorial will cover federal law and MSU policy**
This link will open a new window with narrated slides. You will need speakers and flash player installed to hear the audio portion.

**OPTIONAL Preview of disclosure form screens - 3:45 min**
The system is now available for entering your disclosures.

Financial Conflict of Interest - 25 minute tutorial inc. audio narration covers MSU's new policy on disclosure of potential conflicts of interest.
This link will open a new window with slides and audio narration. When finished, remember to close that window and return here to view the video below.

Entering a disclosure report - System walk-through
4 minute video walk-through of the Office of Sponsored Programs online disclosure system.

Optional: MSU Faculty Conflict of Interest website
http://coi.msu.edu/

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Web Sites

- MSU Conflict of Interest
  - [http://coi.msu.edu/](http://coi.msu.edu/)
  - [http://vprgs.msu.edu/COI2012changes](http://vprgs.msu.edu/COI2012changes)
- Town Halls Slides
- On-line Training
  - [http://goo.gl/ZvqRu](http://goo.gl/ZvqRu)
- OSP/CGA Web Portal
  - [https://www.cga.msu.edu/](https://www.cga.msu.edu/)
781 Annual Disclosures Completed in 2012

- NSF (54.1%)
- PHS (60.3%)
- OTHER (N = 142)
1,120 Investigators Required to Submit Annual Disclosures (% compliance) in 2012

- NSF (54.1%)
- PHS (60.3%)
2012 Annual Disclosure Demographics

- 781 Investigators Completed Annual Disclosures
- 657 (84%) Reported “No Personal Significant Financial Interests”
- 124 (16%) Individuals Reported 364 Entities
  - Not Reportable (94)
  - Nonprofits (50)
    - Foundation (20)
    - Professional Association (27)
  - For Profit (186)
    - Law Firm (13)
    - Trade Assn. (10)
  - Foreign:
    - Government (2)
    - Higher Education (15)
    - Professional (13)
  - Undetermined (4)
2012 Annual Disclosure Demographics

- 137 Personal SFIs deserving of further review
- 183 Previously known SFIs for which the individual did not complete an Annual Disclosure
  - 30 Previously known SFIs for which the individual completed an annual disclosure but did not report the SFI
- ~80 Management Plans in force