Annual Report of Disclosable Personal Interests

In order to comply with legal requirements and to maintain the trust of the general public, Michigan State University (MSU) has adopted a Conflict of Interest Policy that requires individuals with research, teaching, outreach, or service responsibilities and/or independent responsibility for proposing, conducting or reporting the results of research and other sponsored projects to annually report their significant financial interests or other opportunities for tangible personal benefit that relate to their MSU responsibilities. Reported personal interests will be assessed for whether they create a conflict of interest and whether the conflicting interest should be managed.

The following financial interests are excluded from consideration and do not need to be included in your annual report:

- Salary or other remuneration from the University or paid at the University’s behest (including remuneration from a University-approved practice plan)
- Financial interests arising solely by means of investment in a mutual, pension, or other institutional investment fund over whose management and investments neither you nor any immediate family member has control
- Indebtedness from a bank, credit union, or other commercial lender

Income from seminars, lectures, service on committees or review panels, or other educational activities sponsored by public or not-for-profit entities are also excluded for all but PHS Investigators who are required to disclose non-profit entities, foreign governments, and foreign institutions of higher education providing such income.

Disclosable financial interests, or other opportunities for tangible benefit, include not only an individual’s personal interests, but also the interests on an aggregate basis with their immediate family (spouse, domestic partner, dependent child(ren), and other dependents) and of any legal entity that one or more of them owns or controls. Financial interests in entities must be reported when they exceed specific threshold values (i.e., de minimus amounts). De minimus amounts are set at $10,000 unless required to be lower by Federal requirements (e.g., $5,000 for Public Health Service sponsors), by college specific policies (e.g., any $ value by College of Human Medicine clinical departments), or by MSU.

The following questions are intended to help establish the de minimus values that apply.

Is your primary appointment in a clinical department of the College of Human Medicine?
- Yes ☐  No ☐

Are you currently an investigator on a PHS-funded project or plan to serve as an investigator on a proposal submitted to a PHS agency?
- Yes ☐  No ☐

Comment [TAM1]: Pop-up Box with the following text, “The Administration for Children and Families (ACF), Administration on Aging (AoA), Agency for Healthcare Research and Quality (AHRQ), Agency for Toxic Substances and Disease Registry (ATSDR), Centers for Disease Control and Prevention (CDC), Centers for Medicare & Medicaid Services (CMS), Federal Occupational Health (FDH), Food and Drug Administration (FDA), Health Resources and Services Administration (HRSA), Indian Health Service (IHS), National Institutes of Health (NIH), and Substance Abuse and Mental Health Services Administration (SAMHSA).”
Individuals must provide the name and details of each entity, organization, or financial source in which an individual has an interest, along with details of the nature of the interest. For the purposes of this annual report, the following types of interests must be disclosed by entity if they currently exist or exceeded the following de minimus amounts for the preceding 12 months combined:

- Income or receipt of payments of any kind exceeding the de minimus value
- Ownership interests in a single outside entity of greater than 1% or of an amount exceeding the de minimus value
- Serving as a trustee for a trust or estate, or having a beneficial interest in a trust or estate whose value exceeds the de minimus value
- Indebtedness to or from a single entity in an amount exceeding the de minimus value
- Intellectual property rights with an established fair market value exceeding the de minimus value or which generate income of any value
- Unvalued stock options or other options for ownership in a privately held company of any value
- Service on a governing or advisory board, or in a fiduciary or managerial role, for, or as a general partner of, an entity with or without pay
- Receipt of goods, property, or services, like transportation, resort or hotel accommodations, or other recreational or personal amenities
- Other opportunity for tangible, personal benefit.

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CERTIFICATION

I understand that it is my responsibility to update my Annual Report within thirty days of acquiring any new SIGNIFICANT FINANCIAL INTEREST. I certify that this Annual Report of my current financial interests is complete and accurate to the best of my knowledge.